



Receipt

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mamoru Hosoya, et al.)
Serial No: 09/942,008)
Filed: August 29, 2001)
Title: CATHODE ACTIVE MATERIAL,)
METHOD FOR PREPARATION)
THEREOF, NON-AQUEOUS)
ELECTROLYTE CELL AND)
METHOD FOR PREPARATION)
THEREOF)

Attorney Docket No. 09792909-5150

Group Art Unit No.: 2811

Examiner:

RECEIVED

JAN 23 2002

TC 1700

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

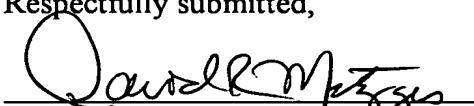
PETITION FOR CORRECTED FILING RECEIPT UNDER 37 CFR §1.181

Dear Sir:

This petition seeks to correct the Filing Receipt which issued for the above-noted application. The Filing Receipt incorrectly gives the Attorney Docket Number as "09792909-5151". The correct attorney docket number for this application is --09792909-5150--. Since another application has been filed with that attorney docket number, it is important that the number on this application be corrected so as not to cause confusion in the future.

Enclosed for correction is a copy of the Filing Receipt indicating the correction to be made. In view of the foregoing remarks and supporting documentation, correction of the Filing Receipt is requested. The Commissioner is authorized to charge any additional fee or credit any deficiency to Deposit Account No. 19-3140. A duplicate copy of this submission is enclosed for that purpose.

Respectfully submitted,


David R. Metzger (Reg. No. 32,919)

SONNENSCHEIN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080
(312)876-8000

I hereby certify that this document and any being referred to as attached or enclosed is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 11/28/01.

Date

Karen A. Turkowski



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY/DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/942,008	08/29/2001	2811	790	09792909- 5154 5750	2	15	4

CONFIRMATION NO. 9014

26263
SONNENSCHEIN NATH & ROSENTHAL
P.O. BOX 061080
WACKER DRIVE STATION
CHICAGO, IL 60606-1080

FILING RECEIPT



OC000000006829759

RECEIVED

JAN 29 2002

Date Mailed: 10/02/2001

TC 1700

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mamoru Hosoya, Kanagawa, JAPAN;
Kimio Takahashi, Miyagi, JAPAN;
Yuzuru Fukushima, Miyagi, JAPAN;

Assignment For Published Patent Application

Sony Corporation;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN P2000-261277 08/30/2000

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: 02/28/2002

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED 10-5-01 DOCKET
DOCKETED Filing Receipt
DIARIED 10-5-01
BY: RHL

RECEIVED

OCT 5 2001

RHL

Cathode active material, method for preparation thereof, non-aqueous electrolyt cell and method for preparation thereof

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).